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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/891,316	06/27/2001	Shotaro Uchida	210093US2S	1655
22850	7590	09/23/2004	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314			IM, JUNGHWA M	
			ART UNIT	PAPER NUMBER
			2811	

DATE MAILED: 09/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/891,316

**Applicant(s)**

UCHIDA, SHOTARO

**Examiner**

Junghwa M. Im

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 22 June 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 8-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 8-20 is/are allowed.
- 6) ☐ Claim(s) 21-26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 11/20/2003.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 21-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Davis et al. (US 6144093), hereafter Davis, in view of Watanabe(US 5925926).

Regarding claim 21, Figure 3 of Davis shows a MOSFET 30 having a first source electrode 38 and a gate electrode 36 on an upper surface of the transistor chip, and a Schottky diode 32 formed between the source electrode and the drain electrode as shown in the circuit diagram Figure 6, the bottom surface of the Schottky diode and the drain of MOSFET are electrically connected to a pad 22 (col.3, lines 26-29) and an inner lead frame 28, a first end of the inner lead frame being connected to the main electrode on a part of the diode region, a second end of the inner lead frame being connected to package lead.

In detail, Fig. 3 of Davis shows one end of inner lead portion is connected to the source electrode by a wire bond 42, also connecting the anode of the Schottky diode. Therefore, the wire bond from the end of the inner lead covers a portion of the Schottky diode region.

Also, note that Application simply recites a Schottky diode is connected parallel for a rectifier application. Fig. 4 of Davis shows the Schottky diode connected parallel to the MOSFET. Note that the connection between MOSFET and Schottky diode in Fig. 4 of Davis is

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identical to the configuration between the MOSFET and Schottky diode in Fig. 8 of the instant invention.

Davis shows a structure of the device substantially identical to the claimed invention except an inner lead frame made of a sheet metal. Watanabe discloses a semiconductor packaging device wherein the inner leads are made of sheet metal (col. 3, lines 1-2). It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize the teaching of Watanabe for the device of Davis to have inner leads made of sheet metal since using sheet metal for the inner leads improves the mechanical strength for supporting a semiconductor chip.

Regarding claim 24, Figure 3 of Davis shows a MOSFET 30 having a first source electrode 38 and a gate electrode 36 on an upper surface of the transistor chip, and a Schottky diode 32 formed between the source electrode and the drain electrode as shown in the circuit diagram Figure 6, the bottom surface of the Schottky diode and the drain of MOSFET are electrically connected to a pad 22 (col.3, lines 26-29), being electrically coupled to the main electrodes of the transistor and the diode, and an inner lead frame 28, a first end of the inner lead frame being connected to the main electrode on a part of the diode region, a second end of the inner lead frame being connected to package lead.

In detail, Fig. 3 of Davis shows one end of inner lead portion is connected to the source electrode by a wire bond 42, also connecting the anode of the Schottky diode. Therefore, the wire bond from the end of the inner lead covers a portion of the Schottky diode region.

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Also, note that Application simply recites a Schottky diode is connected parallel for a rectifier application. In addition, Fig. 4 of Davis shows the Schottky diode connected parallel to the MOSFET.

Davis shows a structure of the device substantially identical to the claimed invention except an inner lead frame made of a sheet metal. Watanabe discloses a semiconductor packaging device wherein the inner leads are made of sheet metal (col. 3, lines 1-2). It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize the teaching of Watanabe for the device of Davis to have inner leads made of sheet metal since using sheet metal for the inner leads improves the mechanical strength for supporting a semiconductor chip.

Regarding claims 22 and 25, Figure 8 of Davis shows that the electrodes (34, 38) cover almost the entire surface of the devices.

Regarding claims 23 and 26, Figure 8 of Davis shows an N-MOSFET. Alternatively it is obvious to use an NMOS in a semiconductor packaging device since an NMOS is one of the most commonly used semiconductor chip for an IC circuit.

#### ***Allowable Subject Matter***

Claims 8-20 are allowed.

#### ***Response to Arguments***

Applicant's arguments filed June 22, 2004 have been fully considered but they are not persuasive. New rejections are made modified only to accommodate the amendments/arguments.

In addition, Examiner presents the remarks below in response to Applicant's arguments.

1. Starting on line 7, page 9, Applicant argues that "the Schottky diode is integrally provided in the transistor chip and the Schottky diode ... Such a structure is ... distinguish over Davis..." Examiner disagrees. As discussed above in detail, Fig. 4 of Davis shows the identical configuration of the connection between the MOSFET and the Schottky diode to the one shown in Fig. 8 of the instant invention.

2. Applicant argues that "in Davis the chip electrode and package lead are connected by bonding wires ... the transistor and the Schottky diode are connected to the package with an inner lead." Note that instant invention recites the limitation of "a first end of the inner lead frame being connected to the main electrode" and Davis shows the first end of the inner lead frame is connected the main electrode through wire bonding.

3. It is noted that Applicant solely depends on Figure 8 of the instant invention to show the limitation of "a first end of the inner lead frame being connected to the main electrode so as to cover at least a part of Schottky diode." Since Fig. 4 of Davis and Fig. 8 of the instant invention have the identical configuration of the connection between the MOSFET and the Schottky diode, then the device of Davis also shows "a first end of the inner lead frame being connected to the main electrode so as to cover at least a part of Schottky diode."

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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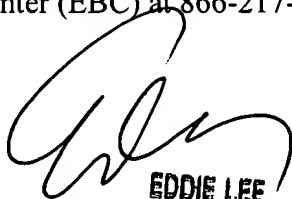
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Junghwa M. Im whose telephone number is (571) 272-1655. The examiner can normally be reached on MON.-FRI. 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie C Lee can be reached on (571) 272-1732. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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